

Break-up channels in muon capture on ^3He

J. Golak, R. Skibiński, H. Witała, K. Topolnicki, and A. E. Elmesneb
M. Smoluchowski Institute of Physics, Jagiellonian University, PL-30059 Kraków, Poland

H. Kamada
Department of Physics, Faculty of Engineering, Kyushu Institute of Technology, Kitakyushu 804-8550, Japan

A. Nogga
*Forschungszentrum Jülich, Institut für Kernphysik (Theorie), Institute for Advanced Simulation
 and Jülich Center for Hadron Physics, D-52425 Jülich, Germany*

L. E. Marcucci
Department of Physics, University of Pisa, IT-56127 Pisa, Italy and INFN-Pisa, IT-56127 Pisa, Italy
 (Received 27 June 2014; published 14 August 2014)

The $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$, $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{H}$, $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$, and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + p$ capture reactions are studied with various realistic potentials under full inclusion of final-state interactions. Our results for the two- and three-body break-up of ^3He are calculated with a variety of nucleon-nucleon potentials, among which is the AV18 potential, augmented by the Urbana IX three-nucleon potential. Most of our results are based on the single-nucleon weak-current operator. As a first step, we tested our calculation in the case of the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{H}$ reactions, for which theoretical predictions obtained in a comparable framework are available. Additionally, we have been able to obtain for the first time a realistic estimate for the total rates of the muon capture reactions on ^3He in the break-up channels: 544 s^{-1} and 154 s^{-1} for the $n + d$ and $n + n + p$ channels, respectively. Our results are compared with the most recent experimental data, finding a rough agreement for the total capture rates, but failing to reproduce the differential capture rates.

DOI: [10.1103/PhysRevC.90.024001](https://doi.org/10.1103/PhysRevC.90.024001)

PACS number(s): 23.40.-s, 21.45.-v, 27.10.+h

I. INTRODUCTION

Muon capture reactions on light nuclei have been studied intensively both experimentally and theoretically for many years. For information on earlier achievements we refer the reader to Refs. [1–3]. More recent theoretical work, focused on the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{H}$ reactions, has been summarized in Refs. [4,5]. Here we mention only that the calculation of Ref. [4], following the early steps of Ref. [6], was performed both in the phenomenological and the “hybrid” chiral effective field theory (χEFT) approach. In the first one, Hamiltonians based on conventional two-nucleon (2N) and three-nucleon (3N) potentials were used to calculate the nuclear wave functions, and the weak transition operator included, beyond the single-nucleon contribution associated with the basic process $\mu^- + p \rightarrow \nu_\mu + n$, meson-exchange currents as well as currents arising from the excitation of Δ -isobar degrees of freedom [7]. In the hybrid χEFT approach, the weak operators were derived in χEFT , but their matrix elements were evaluated between wave functions obtained from conventional potentials. Typically, the potential model and hybrid χEFT predictions are in good agreement with each other [4]. Only very recently have the two reactions been studied in a “nonhybrid” χEFT approach [8], where both potentials and currents are derived consistently in χEFT and the low-energy constants present in the 3N potential and two-body axial-vector current are constrained to reproduce the $A = 3$ binding energies and the Gamow–Teller matrix element in tritium β decay. An overall agreement between the results

obtained within different approaches has been found, as well as between theoretical predictions and available experimental data.

The first theoretical study for the capture $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ was reported in Ref. [9]. A simple single-nucleon current operator was used without any relativistic corrections and the initial and final 3N states were generated by using realistic nucleon-nucleon potentials but neglecting the 3N interactions.

Recent progress in few-nucleon calculations has prompted us to join our expertise: from momentum-space treatment of electromagnetic processes [10,11] and by using the potential-model approach developed in Ref. [4]. We neglect as a first step meson-exchange currents and perform a systematic study of all the $A = 2$ and $A = 3$ muon capture reactions, extending the calculations of Ref. [9] to cover also the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ channel. Therefore, the motivation behind this work is twofold: first of all, by comparing our results obtained for the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{H}$ reactions with those of Ref. [4], we will be able to establish a theoretical framework which can be extended to all the $A \leq 3$ muon capture reactions, including those which involve the full break-up of the $A = 3$ final state. Note that the results of Ref. [4] were obtained by using the hyperspherical harmonics formalism (for a review, see Ref. [12]), at present not available for the $A = 3$ full break-up channel. Here, by using the Faddeev-equation approach, this difficulty is overcome.

The second motivation behind this work is that we will provide, for the first time, predictions for the total and differential

capture rates of the reactions $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ and $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$, obtained with full inclusion of final-state interactions, not only nucleon-nucleon but also 3N forces.

This paper is organized in the following way: In Sec. II we introduce the single-nucleon current operator, which we treat exclusively in momentum space, and compare our expressions with those of Ref. [4]. In the following two sections we show selected results for the $\mu^- + {}^2\text{H} \rightarrow \nu_\mu + n + n$ (Sec. III) and for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + {}^3\text{H}$ (Sec. IV) reactions. Since these results are obtained by retaining only the single-nucleon current operator, a comparison with those of Ref. [4], where meson-exchange currents were included, will inform the reader about the theoretical error caused by neglecting all contributions beyond the single-nucleon term.

Our main results are shown in Sec. V, where we discuss in detail the way we calculate the total capture rates for the two break-up reactions, $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ and $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$, and show predictions obtained with different 3N dynamics. In these calculations we employ mainly the AV18 nucleon-nucleon potential [13] supplemented with the Urbana IX 3N potential [14]. These results form a solid base for our future calculations where the meson-exchange currents will be included and provide a set of benchmark results. Note that in Secs. V A and V B we provide an analysis of the most recent (from Ref. [15]) and the older (from Refs. [16,17]) experimental data on differential capture rates for the reactions $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ and $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$. Finally, Sec. VI contains some concluding remarks.

II. THE SINGLE-NUCLEON CURRENT OPERATOR

In the muon capture process we assume that the initial state $|i\rangle$ consists of the atomic K -shell muon wave function $|\psi m_\mu\rangle$ with the muon spin projection m_μ and the initial nucleus state with the three-momentum \mathbf{P}_i (and the spin projection m_i):

$$|i\rangle = |\psi m_\mu\rangle |\Psi_i \mathbf{P}_i m_i\rangle. \quad (2.1)$$

In the final state, $|f\rangle$, one encounters the muon neutrino (with the three-momentum \mathbf{p}_ν and the spin projection m_ν), as well as the final nuclear state with the total three-momentum \mathbf{P}_f and the set of spin projections m_f :

$$|f\rangle = |\nu_\mu \mathbf{p}_\nu m_\nu\rangle |\Psi_f \mathbf{P}_f m_f\rangle. \quad (2.2)$$

The transition from the initial to final state is driven by the Fermi form of the interaction Lagrangian (see for example Ref. [18]) and leads to a contraction of the leptonic (\mathcal{L}_λ) and nuclear (\mathcal{N}^λ) parts in the S -matrix element, S_{fi} [9]:

$$S_{fi} = i(2\pi)^4 \delta^4(P' - P) \frac{G}{\sqrt{2}} \mathcal{L}_\lambda \mathcal{N}^\lambda, \quad (2.3)$$

where $G = 1.149\,39 \times 10^{-5} \text{GeV}^{-2}$ is the Fermi constant (taken from Ref. [4]), and P (P') is the total initial (final) four-momentum. The well-known leptonic matrix element

$$\mathcal{L}_\lambda = \frac{1}{(2\pi)^3} \bar{u}(\mathbf{p}_\nu, m_\nu) \gamma_\lambda (1 - \gamma_5) u(\mathbf{p}_\mu, m_\mu) \equiv \frac{1}{(2\pi)^3} L_\lambda \quad (2.4)$$

is given in terms of the Dirac spinors (note that we use the notation and spinor normalization of Bjorken and Drell [19]).

The nuclear part is the essential ingredient of the formalism and is written as

$$\mathcal{N}^\lambda = \frac{1}{(2\pi)^3} \langle \Psi_f \mathbf{P}_f m_f | j_w^\lambda | \Psi_i \mathbf{P}_i m_i \rangle \equiv \frac{1}{(2\pi)^3} N^\lambda. \quad (2.5)$$

It is a matrix element of the nuclear weak-current operator j_w^λ between the initial and final nuclear states. The primary form of N^λ is present already in such basic processes (from the point of view of the Fermi theory) as the neutron beta decay or the low-energy $\mu^- + p \rightarrow \nu_\mu + n$ reaction. General considerations, taking into account symmetry requirements, lead to the following form of the single nucleon current operator [20], whose matrix elements depend on the nucleon incoming (\mathbf{p}) and outgoing momentum (\mathbf{p}') and nucleon spin projections m and m' :

$$\begin{aligned} & \langle \tfrac{1}{2} m' | \langle \mathbf{p}' | j_w^\lambda(1) | \mathbf{p} \rangle | \tfrac{1}{2} m \rangle \\ &= \bar{u}(\mathbf{p}', m') [(g_1^V - 2M g_2^V) \gamma^\lambda + g_2^V (p + p')^\lambda \\ &+ g_1^A \gamma^\lambda \gamma^5 + g_2^A (p - p')^\lambda \gamma^5] \tau_- u(\mathbf{p}, m), \end{aligned} \quad (2.6)$$

containing nucleon weak form factors, g_1^V , g_2^V , g_1^A , and g_2^A , which are functions of the four-momentum-transfer squared, $(p' - p)^2$. We neglect the small difference between the proton mass M_p and neutron mass M_n and introduce the average “nucleon mass” $M \equiv \frac{1}{2}(M_p + M_n)$. Working with the isospin formalism, we introduce the isospin lowering operator as $\tau_- = (\tau_x - i\tau_y)/2$. Since the wave functions are generated by nonrelativistic equations, it is necessary to perform the nonrelativistic reduction of Eq. (2.6). The nonrelativistic form of the time and space components of $j_w^\lambda(1)$ reads

$$\langle \mathbf{p}' | j_{NR}^0(1) | \mathbf{p} \rangle = \left(g_1^V + g_1^A \frac{\boldsymbol{\sigma} \cdot (\mathbf{p} + \mathbf{p}')}{2M} \right) \tau_- \quad (2.7)$$

and

$$\begin{aligned} \langle \mathbf{p}' | \mathbf{j}_{NR}(1) | \mathbf{p} \rangle &= \left[g_1^V \frac{\mathbf{p} + \mathbf{p}'}{2M} - \frac{1}{2M} (g_1^V - 2M g_2^V) i \boldsymbol{\sigma} \times (\mathbf{p} - \mathbf{p}') \right. \\ &\quad \left. + g_1^A \boldsymbol{\sigma} + g_2^A (\mathbf{p} - \mathbf{p}') \frac{\boldsymbol{\sigma} \cdot (\mathbf{p} - \mathbf{p}')}{2M} \right] \tau_-, \end{aligned} \quad (2.8)$$

where $\boldsymbol{\sigma}$ is a vector of Pauli spin operators. Here we have kept only terms up to $1/M$.

Very often, relativistic $1/M^2$ corrections are also included. This leads then to additional terms in the current operator:

$$\begin{aligned} & \langle \mathbf{p}' | j_{NR+RC}^0(1) | \mathbf{p} \rangle \\ &= \left[g_1^V - (g_1^V - 4M g_2^V) \frac{(\mathbf{p}' - \mathbf{p})^2}{8M^2} + (g_1^V - 4M g_2^V) \right. \\ &\quad \times i \frac{(\mathbf{p}' \times \mathbf{p}) \cdot \boldsymbol{\sigma}}{4M^2} + g_1^A \frac{\boldsymbol{\sigma} \cdot (\mathbf{p} + \mathbf{p}')}{2M} \\ &\quad \left. + g_2^A \frac{(\mathbf{p}'^2 - \mathbf{p}^2)}{4M^2} \boldsymbol{\sigma} \cdot (\mathbf{p}' - \mathbf{p}) \right] \tau_- \end{aligned} \quad (2.9)$$

and

$$\begin{aligned} & \langle \mathbf{p}' | \mathbf{j}_{\text{NR+RC}}(1) | \mathbf{p} \rangle \\ &= \left\{ g_1^V \frac{\mathbf{p} + \mathbf{p}'}{2M} - \frac{1}{2M} (g_1^V - 2Mg_2^V) i \boldsymbol{\sigma} \times (\mathbf{p} - \mathbf{p}') \right. \\ &+ g_1^A \left[1 - \frac{(\mathbf{p} + \mathbf{p}')^2}{8M^2} \right] \boldsymbol{\sigma} + \frac{g_1^A}{4M^2} [(\mathbf{p} \cdot \boldsymbol{\sigma}) \mathbf{p}' + (\mathbf{p}' \cdot \boldsymbol{\sigma}) \mathbf{p} \\ &+ i(\mathbf{p} \times \mathbf{p}')] + g_2^A (\mathbf{p} - \mathbf{p}') \frac{\boldsymbol{\sigma} \cdot (\mathbf{p} - \mathbf{p}')}{2M} \left. \right\} \tau_{-}. \end{aligned} \quad (2.10)$$

This form of the nuclear weak current operator is very close to the one used in Ref. [4], provided that one term,

$$g_2^V \frac{(\mathbf{p}' - \mathbf{p})^2}{2M}, \quad (2.11)$$

is dropped in Eq. (2.9) and we use

$$G_E^V = g_1^V, \quad (2.12)$$

$$G_M^V = g_1^V - 2Mg_2^V, \quad (2.13)$$

$$G_A = -g_1^A, \quad (2.14)$$

$$G_P = -g_2^A m_\mu. \quad (2.15)$$

Here the form factors G_E^V and G_M^V are the isovector components of the electric and magnetic Sachs form factors, while G_A and G_P are the axial and pseudoscalar form factors. Their explicit expressions and parametrization can be found in Ref. [21]. We also verified that the extra term (2.11) gives negligible effects in all studied observables.

It is clear that on top of the single nucleon operators, also many-nucleon contributions appear in j_w^λ . In the 3N system one can even expect 3N current operators:

$$\begin{aligned} j_w^\lambda &= j_w^\lambda(1) + j_w^\lambda(2) + j_w^\lambda(3) + j_w^\lambda(1,2) + j_w^\lambda(1,3) \\ &+ j_w^\lambda(2,3) + j_w^\lambda(1,2,3). \end{aligned} \quad (2.16)$$

The role of these many-nucleon operators has been studied, for example, in Ref. [4]. In spite of the progress made in this direction (see the discussion in Ref. [4]), we decided to base our first predictions on the single-nucleon current only and concentrate on other dynamical ingredients. Since we want to compare our results with the ones published in Ref. [4], we start with the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{H}$ reactions. Although the steps leading from the general form of S_{fi} to the capture-rates formula are standard, we give here formulas for kinematics and capture rates for all the studied reactions, expecting that they might become useful in future benchmark calculations.

III. RESULTS FOR $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ REACTION

The kinematics of this processes can be treated without any approximations both relativistically and nonrelativistically. We make sure that the nonrelativistic approximation is fully justified by comparing values of various quantities calculated nonrelativistically and by using relativistic equations. This is important, since our dynamics is entirely nonrelativistic. In all cases the starting point is the energy and momentum conservation, where we neglect the very small binding energy

of the muon atom and the neutrino mass, assuming that the initial deuteron and muon are at rest. In the case of the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ reaction it reads

$$\begin{aligned} M_\mu + M_d &= E_\nu + \sqrt{M_n^2 + \mathbf{p}_1^2} + \sqrt{M_n^2 + \mathbf{p}_2^2}, \\ \mathbf{p}_1 + \mathbf{p}_2 + \mathbf{p}_\nu &= 0, \end{aligned} \quad (3.1)$$

and the first equation in (3.1) is approximated nonrelativistically by

$$M_\mu + M_d = E_\nu + 2M_n + \frac{\mathbf{p}_1^2}{2M_n} + \frac{\mathbf{p}_2^2}{2M_n}. \quad (3.2)$$

The maximal relativistic and nonrelativistic neutrino energies read correspondingly

$$(E_\nu^{\text{max},nn})^{\text{rel}} = \frac{1}{2} \left(-\frac{4M_n^2}{M_d + M_\mu} + M_d + M_\mu \right) \quad (3.3)$$

and

$$(E_\nu^{\text{max},nn})^{\text{nr}} = 2\sqrt{M_d M_n + M_\mu M_n - M_n^2} - 2M_n. \quad (3.4)$$

Assuming $M_p = 938.272$ MeV, $M_n = 939.565$ MeV, $M_\mu = 105.658$ MeV, $M_d = M_p + M_n - 2.225$ MeV, we obtain $(E_\nu^{\text{max},nn})^{\text{rel}} = 99.5072$ MeV and $(E_\nu^{\text{max},nn})^{\text{nr}} = 99.5054$ MeV, respectively, with a difference which is clearly negligible.

Furthermore, we introduce the relative Jacobi momentum, $\mathbf{p} = \frac{1}{2}(\mathbf{p}_1 - \mathbf{p}_2)$ and write the energy conservation in a way which best corresponds to the nuclear matrix element calculations:

$$M_\mu + M_d = E_\nu + 2M_n + \frac{E_\nu^2}{4M_n} + \frac{\mathbf{p}^2}{M_n}. \quad (3.5)$$

In the nuclear matrix element, $\langle \Psi_f \mathbf{P}_f m_f | j_w^\lambda | \Psi_i \mathbf{P}_i m_i \rangle$, we deal with the deuteron in the initial state and with a two-neutron scattering state in the final state. Introducing the spin magnetic quantum numbers, we write

$$\begin{aligned} & \langle \Psi_f \mathbf{P}_f m_f | j_w^\lambda | \Psi_i \mathbf{P}_i m_i \rangle \\ &= {}^{(-)} \langle \mathbf{p} \mathbf{P}_f = -\mathbf{p}_\nu m_1 m_2 | j_w^\lambda | \phi_d \mathbf{P}_i = 0 m_d \rangle \\ &= \langle \mathbf{p} \mathbf{P}_f = -\mathbf{p}_\nu m_1 m_2 | [1 + t(E_{nn}) G_0^{nn}(E_{nn})] \\ &\quad \times j_w^\lambda | \phi_d \mathbf{P}_i = 0 m_d \rangle. \end{aligned} \quad (3.6)$$

Thus, for a given nucleon-nucleon potential V , the scattering state of two neutrons is generated by introducing the solution of the Lippmann-Schwinger equation, t :

$$t(E_{nn}) = V + t(E_{nn}) G_0^{nn}(E_{nn}) V, \quad (3.7)$$

where $G_0^{nn}(E_{nn})$ is the free 2N propagator and the relative energy in the two-neutron system is

$$E_{nn} = \frac{\mathbf{p}^2}{M_n} = M_\mu + M_d - E_\nu - 2M_n - \frac{E_\nu^2}{4M_n}. \quad (3.8)$$

We generate the deuteron wave function and solve Eq. (3.7) in momentum space. Note that here, as well as for the $A = 3$

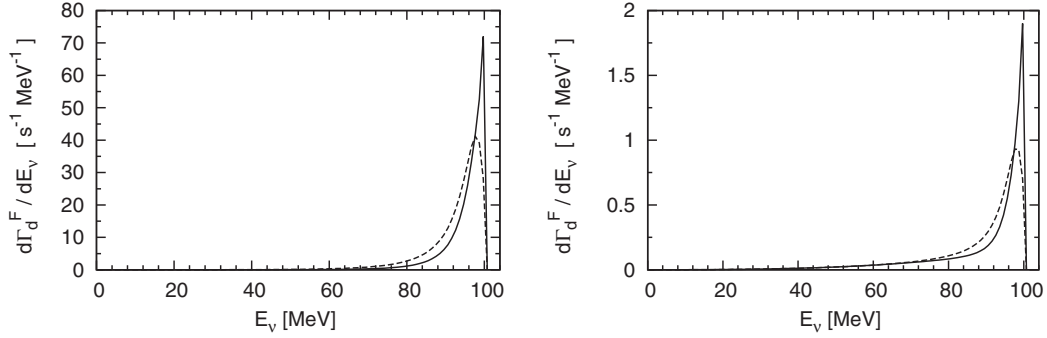


FIG. 1. Differential capture rate $d\Gamma_d^F/dE_v$ for the $\mu^- + {}^2\text{H} \rightarrow \nu_\mu + n + n$ process, calculated with the Bonn B potential [23] in the three-dimensional formalism of Ref. [22] and using the single-nucleon current operator from Eqs. (2.7) and (2.8) for (left panel) $F = \frac{1}{2}$ and (right panel) $F = \frac{3}{2}$ as a function of the neutrino energy E_v . The dashed curves show the plane-wave results and the solid curves are used for the full results. Note that the average nucleon mass is used in the kinematics and in solving the Lippmann–Schwinger equations (see text for more details).

systems, we use the average nucleon mass in the kinematics and in solving the Lippmann–Schwinger equation. The effect of this approximation on the $\mu^- + {}^2\text{H} \rightarrow \nu_\mu + n + n$ reaction will be discussed below. Taking all factors into account and evaluating the phase-space factor in terms of the relative momentum, we arrive at the following expression for the total capture rate:

$$\Gamma_d = \frac{1}{2} G^2 \frac{1}{(2\pi)^2} \frac{(M'_d \alpha)^3}{\pi} \int_0^\pi d\theta_{p_v} \sin \theta_{p_v} \int_0^{2\pi} d\phi_{p_v} \times \int_0^{E_v^{\max, nn}} dE_v E_v^2 \frac{1}{2} M_n p \int_0^\pi d\theta_p \sin \theta_p \int_0^{2\pi} d\phi_p \times \frac{1}{6} \sum_{m_d, m_\mu} \sum_{m_1, m_2, m_v} |L_\lambda(m_v, m_\mu) N^\lambda(m_1, m_2, m_d)|^2, \quad (3.9)$$

where the factor $(M'_d \alpha)^3/\pi$ stems from the K -shell atomic wave function, $M'_d = M_d M_\mu / (M_d + M_\mu)$ and $\alpha \approx 1/137$ is the fine structure constant. We can further simplify this expression since, for the unpolarized case, the integrand does not depend on the neutrino direction and the azimuthal angle of the relative momentum, ϕ_p . Thus we set $\hat{\mathbf{p}}_v = -\hat{\mathbf{z}}$, choose $\phi_p = 0$, and introduce the explicit components of $N^\lambda(m_1, m_2, m_d)$, which yields

$$\Gamma_d = \frac{1}{2} G^2 \frac{1}{(2\pi)^2} \frac{(M'_d \alpha)^3}{\pi} 4\pi \int_0^{E_v^{\max, nn}} dE_v E_v^2 \frac{1}{2} M p \times 2\pi \int_0^\pi d\theta_p \sin \theta_p \frac{1}{3} \sum_{m_d} \sum_{m_1, m_2} (|N^0(m_1, m_2, m_d)|^2 + |N_z(m_1, m_2, m_d)|^2 + 2|N_{-1}(m_1, m_2, m_d)|^2 + 2\text{Re}\{N^0(m_1, m_2, m_d)[N_z(m_1, m_2, m_d)]^*\}). \quad (3.10)$$

This form is not appropriate when we want to calculate separately capture rates from two hyperfine states $F = \frac{1}{2}$ or $F = \frac{3}{2}$ of the muon-deuteron atom. In such a case we introduce

the coupling between the deuteron and muon spin via standard Clebsch–Gordan coefficients $c(\frac{1}{2}, 1, F; m_\mu, m_d, m_F)$ and obtain

$$\Gamma_d^F = \frac{1}{2} G^2 \frac{1}{(2\pi)^2} \frac{(M'_d \alpha)^3}{\pi} 4\pi \int_0^{E_v^{\max, nn}} dE_v E_v^2 \frac{1}{2} M p 2\pi \times \int_0^\pi d\theta_p \sin \theta_p \frac{1}{2F+1} \sum_{m_F} \sum_{m_1, m_2, m_v} \times \left| \sum_{m_\mu, m_d} c\left(\frac{1}{2}, 1, F; m_\mu, m_d, m_F\right) L_\lambda(m_v, m_\mu) \times N^\lambda(m_1, m_2, m_d) \right|^2. \quad (3.11)$$

For the sake of clarity, in Eqs. (3.9)–(3.12) we show the explicit dependence of N^λ on the spin magnetic quantum numbers.

From Eq. (3.12) one can easily read out the differential capture rate $d\Gamma_d^F/dE_v$. As shown in Fig. 1 this quantity soars in the vicinity of $E_v^{\max, nn}$ (especially for the full results, which include the neutron-neutron final state interaction), which makes the observation of dynamical effects quite difficult. That is why the differential capture rate is usually shown as a function of the magnitude of the relative momentum. The transition between $d\Gamma_d^F/dE_v$ and $d\Gamma_d^F/dp$ is given by Eq. (3.8) and reads

$$\frac{d\Gamma_d^F}{dp} = \frac{d\Gamma_d^F}{dE_v} \left| \frac{dE_v}{dp} \right| = \frac{d\Gamma_d^F}{dE_v} \left| \frac{1}{\frac{dp}{dE_v}} \right| = \frac{4p}{E_v + 2M} \frac{d\Gamma_d^F}{dE_v}. \quad (3.12)$$

Our predictions shown in Figs. 1, 2, and 3 are obtained in the three-dimensional formalism of Ref. [22], without any resort to partial-wave decomposition (PWD). These results for the Bonn B potential [23] can be used to additionally prove the convergence of other results based on partial waves. These figures (and the corresponding numbers given in Table I) show clearly that the doublet rate is dominant, as has been observed before; for example, in Ref. [4]. Although the plane wave and full results for the total $F = \frac{1}{2}$ and $F = \frac{3}{2}$ rates

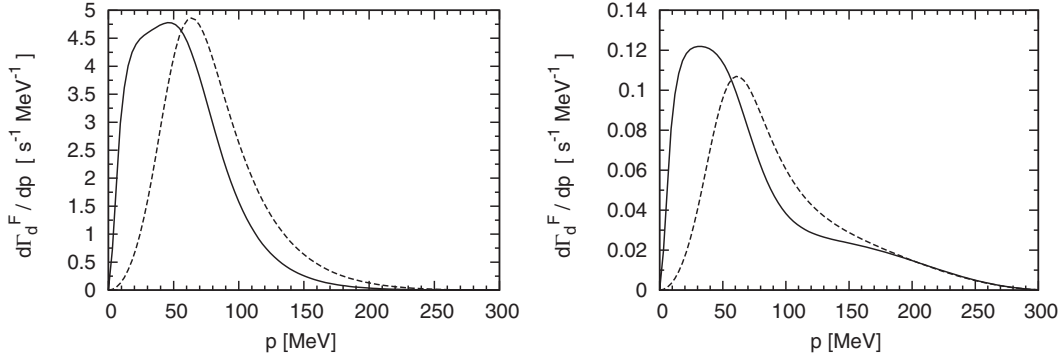


FIG. 2. The same as in Fig. 1 but given in the form of $d\Gamma_d^F/dp$ and shown as a function of the magnitude of the relative neutron-neutron momentum p .

are rather similar, the shapes of differential rates are quite different. The $1/M^2$ corrections in the current operator do not make significant contributions (see Fig. 3) and the total rate is reduced only by about 2% for $F = \frac{1}{2}$ and raised by about 4% for $F = \frac{3}{2}$.

In Fig. 4 we see that our predictions calculated with different nucleon-nucleon potentials lie very close to each other. We take the older Bonn B potential [23], the AV18 potential [13], and five different parametrizations of the chiral next-to-next-to-leading order (NNLO) potential from the Bochum-Bonn group [24]. The corresponding total $F = \frac{1}{2}$ rates vary only by about 2%, while the total $F = \frac{3}{2}$ rates are even more stable. It remains to be seen if the same effects can be found with a more complicated current operator.

The doublet and quadruplet total capture rates are given in Table I with the various nucleon-nucleon potentials indicated above and the different approximations already discussed for Figs. 1–4. The experimental data of Refs. [25–28] are also shown. Since the experimental uncertainties for these data are very large, no conclusion can be drawn from a comparison with them. Note that, within the similar framework developed in Ref. [4], by including the same single-nucleon current operator mentioned above, we obtain $\Gamma_d^{F=1/2} = 378 \text{ s}^{-1}$ (235 s^{-1} for

the 1S_0 neutron-neutron partial wave), to be compared with the value of 392 s^{-1} of Table I. The difference of 14 s^{-1} is due to (i) the use of the average nucleon mass in the Lippmann–Schwinger equation for the t matrix and final-state kinematics ($\approx 10 \text{ s}^{-1}$), (ii) $j > 2$ 2N partial-wave contributions ($\approx 3 \text{ s}^{-1}$). Since for the pure neutron-neutron system we can use the true neutron mass, we performed the corresponding momentum-space calculation with $j \leq 2$ partial-wave states and obtained $\Gamma_d^{F=1/2} = 380 \text{ s}^{-1}$ (237 s^{-1} for the 1S_0 neutron-neutron partial wave), which proves a very good agreement with Ref. [4].

The above results were calculated by using PWD. In the case of the Bonn B potential they have been compared with the predictions obtained employing the three-dimensional scheme and an excellent agreement has been found. The 2N momentum-space partial-wave states carry information about the magnitude of the relative momentum p , the relative angular momentum l , spin s , and total angular momentum j with the corresponding projection m_j . This set of quantum numbers is supplemented by the 2N isospin t and its projection m_t . In order to avoid the cumbersome task of PWD of the many terms in Eqs. (2.9) and (2.10) we proceed in the same way as for the nuclear potentials in the so-called automatized PWD method [29,30]. In the case of the single-nucleon current

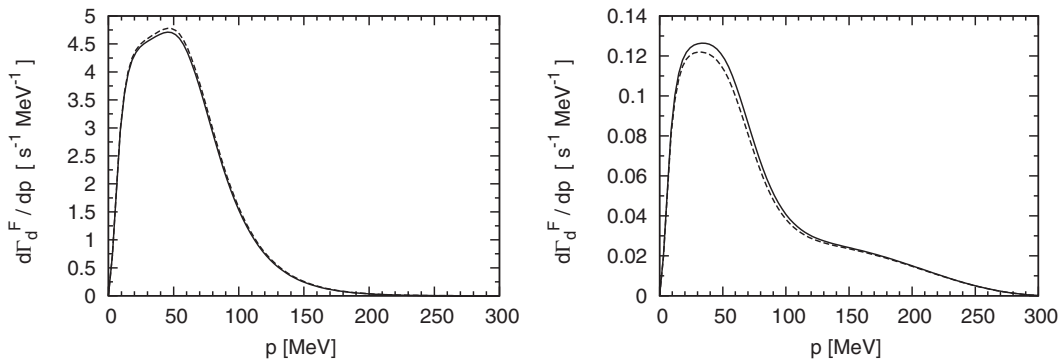


FIG. 3. Differential capture rate $d\Gamma_d^F/dp$ of the $\mu^- + {}^2\text{H} \rightarrow \nu_\mu + n + n$ process calculated with the Bonn B potential [23] in the three-dimensional formalism of Ref. [22] for (left panel) $F = \frac{1}{2}$ and (right panel) $F = \frac{3}{2}$ as a function of the relative neutron-neutron momentum p . The dashed (solid) curves show the full results obtained with the single-nucleon current operator without (with) the relativistic corrections. Note that the average nucleon mass is used in the kinematics and in solving the Lippmann–Schwinger equations (see text for more details).

operator it leads to a general formula

$$\begin{aligned}
\langle p(ls)jm_jt\mathbf{m}_i\mathbf{P}_f|j_w(1)|\phi_d\mathbf{P}_i=0m_d\rangle &= \delta_{t,1}\delta_{m_i,-1}\langle 1-1|\tau_-(1)|00\rangle c(l,s,j;m_l,m_j-m_l,m_j) \\
&\times \sum_{l_d=0,2} \sum_{m_{l_d}} c(l_d,1,1;m_{l_d},m_d-m_{l_d},m_d) \sum_{m_1} c\left(\frac{1}{2},\frac{1}{2},s;m_1,m_j-m_l-m_1,m_j-m_l\right) \\
&\times \sum_{m_{l_d}} c\left(\frac{1}{2},\frac{1}{2},1;m_{l_d},m_d-m_{l_d}-m_{l_d},m_d-m_{l_d}\right) \delta_{m_j-m_l-m_1,m_d-m_{l_d}-m_{l_d}} \\
&\times \int d\hat{\mathbf{p}} Y_{lm_i}^*(\hat{\mathbf{p}}) Y_{l_d m_{l_d}}\left(\widehat{\mathbf{p}-\frac{1}{2}\mathbf{Q}}\right) \phi_{l_d}\left(\left|\mathbf{p}-\frac{1}{2}\mathbf{Q}\right|\right) \left\langle \frac{1}{2}m_1 \left| \left\langle \mathbf{p}+\frac{1}{2}\mathbf{P}_f \right| j_w^{\text{spin}}(1) \right. \right. \\
&\times \left. \left. \left| \mathbf{p}-\frac{1}{2}\mathbf{P}_f+\mathbf{P}_i \right| \right| \frac{1}{2}m_{l_d} \right\rangle, \tag{3.13}
\end{aligned}$$

where $\mathbf{Q} \equiv \mathbf{P}_f - \mathbf{P}_i$ and the deuteron state contains two components

$$|\phi_d m_d\rangle = \sum_{l_d=0,2} \int dp p^2 |p(l_d 1) 1 m_d\rangle |00\rangle \phi_{l_d}(p). \tag{3.14}$$

Using software for symbolic algebra, for example, MATHEMATICA [31], we easily prepare momentum-dependent spin matrix elements

$$\left\langle \frac{1}{2}m' \left| \langle \mathbf{p}'_1 | j_w^{\text{spin}}(1) | \mathbf{p}_1 \rangle \right| \frac{1}{2}m \right\rangle \tag{3.15}$$

TABLE I. Doublet ($F = 1/2$) and quadruplet ($F = 3/2$) capture rates for the $\mu^- + {}^2\text{H} \rightarrow \nu_\mu + n + n$ reaction calculated with various nucleon-nucleon potentials and the single-nucleon current operator without and with the relativistic corrections (RCs). Plane-wave results (PW) and results obtained with the rescattering term in the nuclear matrix element (full) are shown. Note that the average nucleon mass is used in the kinematics and in solving the Lippmann–Schwinger equations (see text for more details). The available experimental data are from Refs. [25–28].

| | Capture rate Γ_d^F in s^{-1} | | | |
|-----------------------------------------|----------------------------------------------|------|-----------|------|
| | $F = 1/2$ | | $F = 3/2$ | |
| Nucleon-nucleon force and dynamics | PW | Full | PW | Full |
| Bonn B, without RC | 369 | 403 | 10.0 | 11.7 |
| Bonn B, with RC | 363 | 396 | 10.4 | 12.2 |
| AV18, with RC | 361 | 392 | 10.2 | 12.0 |
| Chiral NNLO potential version 1 with RC | 367 | 399 | 10.5 | 12.2 |
| Chiral NNLO potential version 2 with RC | 364 | 394 | 10.4 | 12.2 |
| Chiral NNLO potential version 3 with RC | 365 | 397 | 10.5 | 12.2 |
| Chiral NNLO potential version 4 with RC | 367 | 399 | 10.4 | 12.2 |
| Chiral NNLO potential version 5 with RC | 364 | 396 | 10.4 | 12.2 |
| Experimental results: | | | | |
| I.-T. Wang <i>et al.</i> [25] | 365 ± 96 | | | |
| A. Bertin <i>et al.</i> [26] | 445 ± 60 | | | |
| G. Bardin <i>et al.</i> [27] | 470 ± 29 | | | |
| M. Cargnelli <i>et al.</i> [28] | 409 ± 40 | | | |

for any type of the single-nucleon operator. The calculations were performed including all partial-wave states with $j \leq 4$. We typically use 40 E_v points and 50 θ_p values to achieve fully converged results. Note that, in Ref. [4], a standard multipole expansion was obtained retaining all $j \leq 2$ and $l \leq 3$ neutron-neutron partial waves, and the integration over p (θ_p) was performed with 30 (~ 10) integration points.

IV. RESULTS FOR $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + {}^3\text{H}$ REACTION

In this case we deal with simple two-body kinematics and we compare the neutrino energy calculated nonrelativistically and using relativistic equations. The relativistic result, based on

$$M_\mu + M_{{}^3\text{He}} = E_v + \sqrt{E_v^2 + M_{{}^3\text{H}}^2}, \tag{4.1}$$

reads

$$(E_v)^{\text{rel}} = \frac{(M_{{}^3\text{He}} + M_\mu)^2 - M_{{}^3\text{H}}^2}{2(M_{{}^3\text{He}} + M_\mu)}. \tag{4.2}$$

In the nonrelativistic case, we start with

$$M_\mu + M_{{}^3\text{He}} = E_v + M_{{}^3\text{H}} + \frac{E_v^2}{2M_{{}^3\text{H}}} \tag{4.3}$$

and arrive at

$$(E_v)^{\text{nr}} = -M_{{}^3\text{H}} + \sqrt{M_{{}^3\text{H}}[-M_{{}^3\text{H}} + 2(M_{{}^3\text{He}} + M_\mu)]}. \tag{4.4}$$

Again the obtained numerical values, $(E_v)^{\text{rel}} = 103.231$ MeV and $(E_v)^{\text{nr}} = 103.230$ MeV, are very close to each other.

For this case we do not consider the ($F = 0$ and $F = 1$) hyperfine states in ${}^3\text{He}$ and calculate directly

$$\begin{aligned}
\Gamma_{{}^3\text{H}} &= \frac{1}{2} G^2 \frac{1}{(2\pi)^2} \mathcal{R} \frac{(2M_{{}^3\text{He}} \alpha)^3}{\pi} \rho \\
&\times 4\pi \frac{1}{2} \sum_{m_{{}^3\text{He}}} \sum_{m_{{}^3\text{H}}} (|N^0(m_{{}^3\text{H}}, m_{{}^3\text{He}})|^2 \\
&+ |N_z(m_{{}^3\text{H}}, m_{{}^3\text{He}})|^2 + 2|N_{-1}(m_{{}^3\text{H}}, m_{{}^3\text{He}})|^2 \\
&+ 2\text{Re}\{N^0(m_{{}^3\text{H}}, m_{{}^3\text{He}})[N_z(m_{{}^3\text{H}}, m_{{}^3\text{He}})]^*\}), \tag{4.5}
\end{aligned}$$

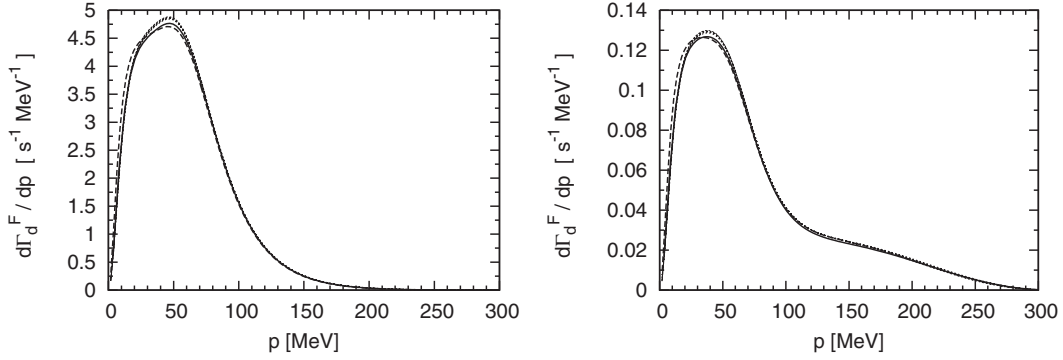


FIG. 4. Differential capture rate $d\Gamma_d^F/dp$ of the $\mu^- + {}^2\text{H} \rightarrow \nu_\mu + n + n$ process calculated by using standard PWD with various nucleon-nucleon potentials: the AV18 potential [13] (solid curves), the Bonn B potential [23] (dashed curves), and the set of chiral NNLO potentials from Ref. [24] (bands) for (left panel) $F = \frac{1}{2}$ and (right panel) $F = \frac{3}{2}$ as a function of the relative neutron-neutron momentum p . Note that the bands are very narrow and thus appear practically as a curve. All the partial-wave states with $j \leq 4$ have been included in the calculations with the single-nucleon current operator containing the relativistic corrections. Note that the average nucleon mass is used in the kinematics and in solving the Lippmann–Schwinger equations (see text for more details).

where the factor $(2M'_{3\text{He}}\alpha)^3/\pi$, like in the deuteron case, comes from the K -shell atomic wave function and $M'_{3\text{He}} = M_{3\text{He}}M_\mu/(M_{3\text{He}} + M_\mu)$. Also in this case one can fix the direction of the neutrino momentum (our choice is $\hat{\mathbf{p}}_\nu = -\hat{\mathbf{z}}$) and the angular integration yields just 4π . The phase-space factor ρ is

$$\rho = \frac{E_\nu^2}{1 + \frac{E_\nu}{\sqrt{E_\nu^2 + M_{3\text{H}}^2}}} \approx E_\nu^2 \left(1 - \frac{E_\nu}{M_{3\text{H}}}\right). \quad (4.6)$$

The additional factor \mathcal{R} accounts for the finite volume of the ^3He charge and we assume that $\mathcal{R} = 0.98$ [4]. (The corresponding factor in the deuteron case has been found to be very close to 1 [4] and thus is omitted.) Now, of course, the nuclear matrix elements involve the initial ^3He and final ^3H states:

$$N^\lambda(m_{3\text{H}}, m_{3\text{He}}) \equiv \langle \Psi_{3\text{H}} \mathbf{P}_f = -\mathbf{p}_\nu m_{3\text{H}} | j_w^\lambda | \Psi_{3\text{He}} \mathbf{P}_i = 0 m_{3\text{He}} \rangle, \quad (4.7)$$

and many-nucleon contributions are expected in j_w^λ as given in Eq. (2.16).

Our results for this process are given in Table II. They are based on various 3N Hamiltonians and the single nucleon current operator. Only in the last line we show a result, where on top of the single-nucleon contributions 2N operators are added to the current operator j_w^λ . We use the meson-exchange currents from Ref. [7] [Eqs. (4.16)–(4.39), without Δ -isobar contributions]. Among the 2N operators listed in that reference, there are so-called nonlocal structures [like the one in Eq. (4.37)] and their numerical implementation in our 3N calculations is quite involved. The local structures can be treated easily as described, for example, in Refs. [10,32]. Our two last results from Table II (1324 and 1386 s^{-1}), should be compared with the PS (1316 s^{-1}) and Mesonic (1385 s^{-1}) predictions from Table X of Ref. [4], although not all the details of the calculations are the same. The experimental

value for this capture rate is known with a rather good accuracy [$\Gamma_{\text{expt}} = (1496 \pm 4) \text{s}^{-1}$ [33]] so one can expect that the effects of 2N operators exceed 11%. At least for this process, they are more important than the 3N force effects. The latter ones amount roughly to 2% only. This dependence on the 3N interaction was already observed in Ref. [6], where it was shown that the total capture rate scales approximately linearly with the trinucleon binding energy.

In the 3N case we employ PWD and use our standard 3N basis $|pq\bar{\alpha}Jm_J; Tm_T\rangle$ [10], where p and q are magnitudes of the relative Jacobi momenta and $\bar{\alpha}$ is a set of discrete quantum numbers. Note that the $|pq\bar{\alpha}Jm_J; Tm_T\rangle$ states are already antisymmetrized in the (2,3) subsystem. Also in this case we have derived a general formula for PWD of the single nucleon

TABLE II. Total capture rate Γ for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + {}^3\text{H}$ reaction calculated with the single-nucleon current operator and various nucleon-nucleon potentials. In the last two lines the rates are obtained by employing the AV18 [13] nucleon-nucleon and the Urbana IX 3N potentials [14] and adding, in the last line, some selected 2N current operators to the single-nucleon current (see text for more explanations).

| Three-Nucleon Hamiltonian | Capture rate Γ in s^{-1} |
|-------------------------------|------------------------------------------|
| Bonn B | 1360 |
| Chiral NNLO version 1 | 1379 |
| Chiral NNLO version 2 | 1312 |
| Chiral NNLO version 3 | 1350 |
| Chiral NNLO version 4 | 1394 |
| Chiral NNLO version 5 | 1332 |
| AV18 | 1353 |
| AV18 + Urbana IX | 1324 |
| AV18 + Urbana IX with MEC [7] | 1386 |

current operator:

$$\begin{aligned}
 & \langle pq\bar{\alpha} J m_J; T m_T \mathbf{P}_f | j_w(1) | \Psi_{^3\text{He}} \mathbf{P}_i = 0 m_{^3\text{He}} \rangle \\
 &= \sum_{\bar{\alpha}_b} \delta_{l,l_b} \delta_{s,s_b} \delta_{j,j_b} \delta_{t,t_b} \delta_{m_T,-\frac{1}{2}} \left\langle \left(t \frac{1}{2} \right) T - \frac{1}{2} \left| \tau_{-}(1) \right| \left(t_b \frac{1}{2} \right) \frac{1}{2} \frac{1}{2} \right\rangle \sum_{m_j} c(j, I, J; m_j, m_J - m_j, m_J) c\left(j_b, I_b, \frac{1}{2}; m_j, m_{^3\text{He}} - m_j, m_{^3\text{He}}\right) \\
 &\times \sum_{m_\lambda} c\left(\lambda, \frac{1}{2}, I; m_\lambda, m_J - m_j - m_\lambda, m_J - m_j\right) \sum_{m_{\lambda_b}} c\left(\lambda_b, \frac{1}{2}, I_b; m_{\lambda_b}, m_{^3\text{He}} - m_{j_b} - m_{\lambda_b}, m_{^3\text{He}} - m_{j_b}\right) \\
 &\times \int d\mathbf{q} Y_{\lambda m_\lambda}^*(\hat{\mathbf{q}}) Y_{\lambda_b m_{\lambda_b}}(\hat{\mathbf{q}} - \frac{2}{3}\mathbf{Q}) \phi_{\bar{\alpha}_b}\left(p, \left|\mathbf{q} - \frac{2}{3}\mathbf{Q}\right|\right) \\
 &\times \left\langle \frac{1}{2} m_J - m_j - m_\lambda \left| \left\langle \mathbf{q} + \frac{1}{3}\mathbf{P}_f \right| j_w^{\text{spin}}(1) \left| \mathbf{q} - \frac{2}{3}\mathbf{P}_f + \mathbf{P}_i \right\rangle \right| \frac{1}{2} m_{^3\text{He}} - m_{j_b} - m_{\lambda_b} \right\rangle, \tag{4.8}
 \end{aligned}$$

where, as in the 2N space, $\mathbf{Q} \equiv \mathbf{P}_f - \mathbf{P}_i$. We encounter again the essential spin matrix element

$$\left\langle \frac{1}{2} m' \left| \langle \mathbf{p}'_1 | j_w^{\text{spin}}(1) | \mathbf{p}_1 \rangle \right| \frac{1}{2} m \right\rangle \tag{4.9}$$

of the single-nucleon current operator, which is calculated by using software for symbolic algebra. The initial 3N bound state is given as

$$\begin{aligned}
 & |\Psi_{^3\text{He}} m_{^3\text{He}} \rangle \\
 &= \sum_{\bar{\alpha}_b} \int dp p^2 \int dq q^2 \left| pq \bar{\alpha}_b \frac{1}{2} m_{^3\text{He}}; \frac{1}{2} \frac{1}{2} \right\rangle \phi_{\bar{\alpha}_b}(p, q). \tag{4.10}
 \end{aligned}$$

In our calculations we have used 34 (20) points for integration over p (q), and 34 partial-wave states corresponding to $j \leq 4$.

V. RESULTS FOR $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ AND $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ REACTIONS

The kinematics of the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ reactions is formulated in the same way as for the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ process in Sec. III. The maximal neutrino energies for the two-body and three-body captures of the muon atom are evaluated as

$$(E_v^{\text{max},nd})^{\text{rel}} = \frac{(M_{^3\text{He}} - M_d + M_\mu - M_n)(M_{^3\text{He}} + M_d + M_\mu + M_n)}{2(M_{^3\text{He}} + M_\mu)}, \tag{5.1}$$

$$(E_v^{\text{max},nnp})^{\text{rel}} = \frac{M_{^3\text{He}}^2 + 2M_{^3\text{He}}M_\mu + M_\mu^2 - (2M_n + M_p)^2}{2(M_{^3\text{He}} + M_\mu)}, \tag{5.2}$$

$$(E_v^{\text{max},nd})^{\text{nrl}} = \sqrt{(M_d + M_n)(2M_{^3\text{He}} + 2M_\mu - M_d - M_n)} - M_d - M_n, \tag{5.3}$$

$$(E_v^{\text{max},nnp})^{\text{nrl}} = \sqrt{(M_p + 2M_n)(2M_{^3\text{He}} + 2M_\mu - 2M_n - M_p)} - 2M_n - M_p. \tag{5.4}$$

The numerical values are the following: $(E_v^{\text{max},nd})^{\text{rel}} = 97.1947$ MeV, $(E_v^{\text{max},nd})^{\text{nrl}} = 97.1942$ MeV, $(E_v^{\text{max},nnp})^{\text{rel}} = 95.0443$ MeV, and $(E_v^{\text{max},nnp})^{\text{nrl}} = 95.0439$ MeV.

The kinematically allowed region in the E_ν - E_d plane for the two-body break-up of ^3He is shown in Fig. 5. We show the curves based on the relativistic and nonrelativistic kinematics. They essentially overlap except for the very small neutrino energies. The same is also true for the three-body break-up as demonstrated in Fig. 6. Up to a certain E_ν value, which we denote by $E_\nu^{2\text{sol}}$, the minimal proton kinetic energy is zero. The minimal proton kinetic energy is greater than zero for $E_\nu > E_\nu^{2\text{sol}}$. Even this very detailed shape of the kinematical domain can be calculated nonrelativistically with high accuracy (see also the inset in Fig. 6). The values of $E_\nu^{2\text{sol}}$ based on the

relativistic kinematics,

$$\begin{aligned}
 & (E_v^{2\text{sol}})^{\text{rel}} \\
 &= \frac{(M_{^3\text{He}} + M_\mu)(M_{^3\text{He}} + M_\mu - 2M_p) - 4M_n^2 + M_p^2}{2(M_{^3\text{He}} + M_\mu - M_p)}, \tag{5.5}
 \end{aligned}$$

and nonrelativistic kinematics,

$$(E_v^{2\text{sol}})^{\text{nrl}} = 2\left(\sqrt{M_{^3\text{He}}M_n + M_\mu M_n - M_n^2} - M_n M_p\right), \tag{5.6}$$

yield very similar numerical values: 94.2832 and 94.2818 MeV, respectively.

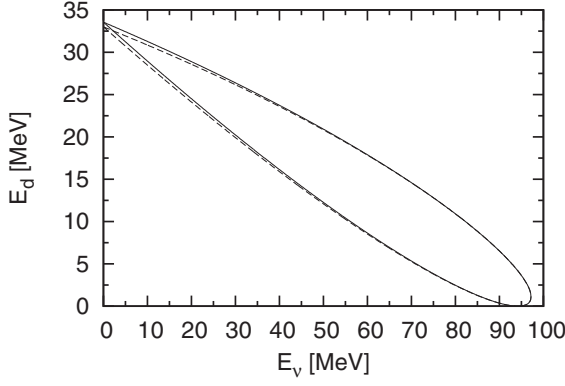


FIG. 5. The kinematically allowed region in the E_v - E_d plane calculated relativistically (solid curve) and nonrelativistically (dashed curve) for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ process.

In Ref. [9] we performed the first calculations for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ reaction taking into account only nucleon-nucleon forces but including final-state interactions. We analyzed some experimental data [16,17] and found large effects of final-state interactions. In the present paper we calculate the total capture rate for the two-body and three-body break-up reactions and analyze more complete data sets from Refs. [16,17] and [15]. The two-body and three-body nuclear scattering states are here obtained including a 3N force. To this end we use the experience from our studies on electromagnetic reactions (see, for example, Refs. [10,11]).

The crucial matrix elements

$$N_{nd}^\lambda(m_n, m_d, m_{^3\text{He}}) \equiv \langle \Psi_{nd}^{(-)} \mathbf{P}_f = -\mathbf{p}_v m_n m_d | j_w^\lambda | \Psi_{^3\text{He}} \mathbf{P}_i = 0 m_{^3\text{He}} \rangle \quad (5.7)$$

and

$$N_{nnp}^\lambda(m_1, m_2, m_p, m_{^3\text{He}}) \equiv \langle \Psi_{nnp}^{(-)} \mathbf{P}_f = -\mathbf{p}_v m_1 m_2 m_p | j_w^\lambda | \Psi_{^3\text{He}} \mathbf{P}_i = 0 m_{^3\text{He}} \rangle \quad (5.8)$$

are calculated in two steps. First we solve a Faddeev-like equation for the auxiliary state $|U^\lambda\rangle$ for each considered

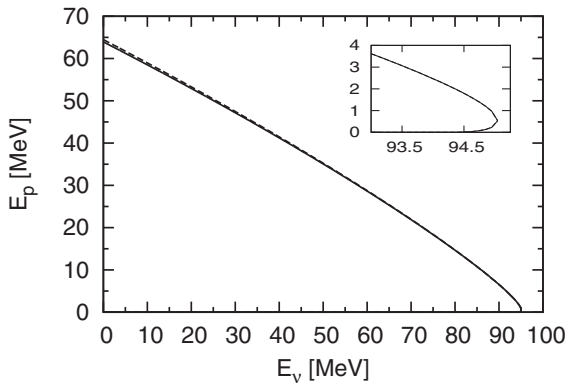


FIG. 6. The kinematically allowed region in the E_v - E_p plane calculated relativistically (solid curve) and nonrelativistically (dashed curve) for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ process.

neutrino energy:

$$|U^\lambda\rangle = [tG_0 + \frac{1}{2}(1+P)V_4^{(1)}G_0(1+tG_0)](1+P)j_w^\lambda|\Psi_{^3\text{He}}\rangle + [tG_0P + \frac{1}{2}(1+P)V_4^{(1)}G_0(1+tG_0P)]|U^\lambda\rangle, \quad (5.9)$$

where $V_4^{(1)}$ is a part of the 3N force symmetrical under the exchange of nucleons 2 and 3, G_0 is the free 3N propagator, and t is the 2N t operator acting in the (2,3) subspace. Furthermore, P is the permutation operator built from the transpositions P_{ij} exchanging nucleons i and j :

$$P = P_{12}P_{23} + P_{13}P_{23}. \quad (5.10)$$

In the second step the nuclear matrix elements are calculated by simple quadratures:

$$N_{nd}^\lambda(m_n, m_d, m_{^3\text{He}}) = \langle \phi_{nd} \mathbf{q}_0 m_n m_d | (1+P) j_w^\lambda | \Psi_{^3\text{He}} \rangle + \langle \phi_{nd} \mathbf{q}_0 m_n m_d | P | U^\lambda \rangle, \quad (5.11)$$

$$\begin{aligned} N_{nnp}^\lambda(m_1, m_2, m_p, m_{^3\text{He}}) &= \langle \phi_{nnp} \mathbf{p} \mathbf{q} m_1 m_2 m_p | (1+P) j_w^\lambda | \Psi_{^3\text{He}} \rangle \\ &+ \langle \phi_{nnp} \mathbf{p} \mathbf{q} m_1 m_2 m_p | tG_0(1+P) j_w^\lambda | \Psi_{^3\text{He}} \rangle \\ &+ \langle \phi_{nnp} \mathbf{p} \mathbf{q} m_1 m_2 m_p | P | U^\lambda \rangle \\ &+ \langle \phi_{nnp} \mathbf{p} \mathbf{q} m_1 m_2 m_p | tG_0P | U^\lambda \rangle. \end{aligned} \quad (5.12)$$

Here, $|\phi_{nd} \mathbf{q}_0 m_n m_d\rangle$ is a product state of the deuteron wave function and a momentum eigenstate of the spectator nucleon characterized by the relative momentum vector \mathbf{q}_0 , while $|\phi_{nnp} \mathbf{p} \mathbf{q} m_1 m_2 m_p\rangle$ is a product state of two free motions in the 3N system given by Jacobi relative momenta \mathbf{p} and \mathbf{q} , antisymmetrized in the (2,3) subsystem. Equations (5.9), (5.11), and (5.12) simplify significantly, when $V_4^{(1)} = 0$ [11].

Finally, we give our formulas for the total capture rates. As for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{H}$ reaction, for the two break-up channels these quantities are also calculated directly and the hyperfine states in ^3He are not considered. In the case of the two-body break-up it reads

$$\begin{aligned} \Gamma_{nd} &= \frac{1}{2} G^2 \frac{1}{(2\pi)^2} \mathcal{R} \frac{(2M_{^3\text{He}}' \alpha)^3}{\pi} 4\pi \\ &\times \int_0^{E_v^{\max, nd}} dE_v E_v^2 \frac{2}{3} M q_0 \frac{1}{3} \int_0^\pi d\theta_{q_0} \sin \theta_{q_0} 2\pi \\ &\times \frac{1}{2} \sum_{m_{^3\text{He}}} \sum_{m_n, m_d} (|N_{nd}^0(m_n, m_d, m_{^3\text{He}})|^2 \\ &+ |N_{nd, z}(m_n, m_d, m_{^3\text{He}})|^2 + 2|N_{nd, -1}(m_n, m_d, m_{^3\text{He}})|^2 \\ &+ 2\text{Re}\{N_{nd}^0(m_n, m_d, m_{^3\text{He}})[N_{nd, z}(m_n, m_d, m_{^3\text{He}})]^*\}), \end{aligned} \quad (5.13)$$

where we used the same arguments as before to simplify the angular integrations. The energy conservation is expressed in terms of the relative neutron-deuteron momentum:

$$\mathbf{q}_0 \equiv \frac{2}{3} (\mathbf{p}_n - \frac{1}{2} \mathbf{p}_d), \quad (5.14)$$

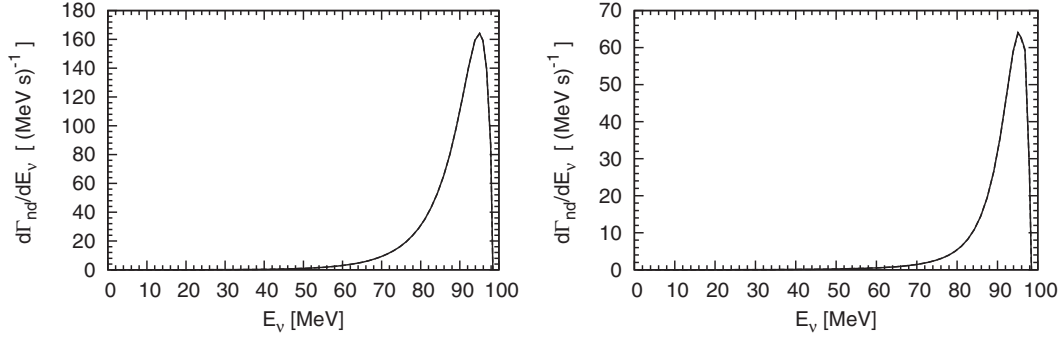


FIG. 7. The differential capture rates $d\Gamma_{nd}/dE_v$ for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ process calculated with the AV18 potential [13] and the single-nucleon current operator as a function of the muon neutrino energy, using (left panel) the symmetrized plane wave and (right panel) a full solution of Eq. (5.9) with $V_4^{(1)} = 0$. The curves representing results of the calculations employing all partial-wave states with $j \leq 3$ ($j \leq 4$) in the 2N subsystem are depicted with dashed (solid) curves. The maximal total 3N angular momentum is $J_{\text{max}} = \frac{9}{2}$.

yielding

$$M_\mu + M_{{}^3\text{He}} \approx E_v + M_n + M_d + \frac{3}{4} \frac{\mathbf{q}_0^2}{M} + \frac{1}{6} \frac{E_v^2}{M}, \quad (5.15)$$

where we neglect the deuteron binding energy. For the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$ reaction we obtain in a similar way

$$\begin{aligned} \Gamma_{nnp} = & \frac{1}{2} G^2 \frac{1}{(2\pi)^2} \mathcal{R} \frac{(2M_{{}^3\text{He}} \alpha)^3}{\pi} 4\pi \int_0^{E_v^{\text{max}, nnp}} dE_v E_v^2 \frac{2}{3} M q \frac{1}{3} \\ & \times \int_0^\pi d\theta_q \sin \theta_q 2\pi \int_0^\pi d\theta_p \sin \theta_p \int_0^{2\pi} d\phi_p \int_0^{p^{\text{max}}} dp p^2 \\ & \times \frac{1}{2} \sum_{m_{{}^3\text{He}}} \sum_{m_1, m_2, m_p} (|N_{nnp}^0(m_1, m_2, m_p, m_{{}^3\text{He}})|^2 \\ & + |N_{nnp, z}(m_1, m_2, m_p, m_{{}^3\text{He}})|^2 \\ & + 2|N_{nnp, -1}(m_1, m_2, m_p, m_{{}^3\text{He}})|^2 \\ & + 2\text{Re}\{N_{nnp}^0(m_1, m_2, m_p, m_{{}^3\text{He}}) \\ & \times [N_{nnp, z}(m_1, m_2, m_p, m_{{}^3\text{He}})]^*\}). \end{aligned} \quad (5.16)$$

The energy conservation is expressed in terms of the Jacobi relative momenta \mathbf{p} and \mathbf{q} :

$$\begin{aligned} \mathbf{p} &\equiv \frac{1}{2}(\mathbf{p}_1 - \mathbf{p}_2), \\ \mathbf{q} &\equiv \frac{2}{3}(\mathbf{p}_p - \frac{1}{2}(\mathbf{p}_1 + \mathbf{p}_2)), \end{aligned} \quad (5.17)$$

which leads to

$$M_\mu + M_{{}^3\text{He}} \approx E_v + 3M + \frac{\mathbf{p}^2}{M} + \frac{3}{4} \frac{\mathbf{q}^2}{M} + \frac{1}{6} \frac{E_v^2}{M}. \quad (5.18)$$

We start the discussion of our predictions with Fig. 7, where for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ reaction we compare results of calculations employing all partial-wave states with the total subsystem angular momentum $j \leq 3$ and $j \leq 4$. Both the (symmetrized) plane-wave and full results show a very good convergence, and in practice it is sufficient to perform calculations with $j \leq 3$. We refer the reader to Ref. [10] for the detailed definitions of various 3N dynamics. The convergence with respect to the total 3N angular momentum J will be discussed in Sec. V A. The differential capture rates $d\Gamma_{nd}/dE_v$ rise very slowly with the neutrino energy and show

a strong maximum in the vicinity of the maximal neutrino energy. (At the very maximal neutrino energy the phase-space factor reduces the differential rates to zero.) This maximum is broader for the plane-wave case. Final-state-interaction effects are very important and in the maximum bring the full $d\Gamma_{nd}/dE_v$ to about 1/3 of the plane-wave prediction. The results are based on the AV18 [13] nucleon-nucleon interaction.

In Fig. 8 we show results based on different 3N dynamics: plane-wave approximation, symmetrized plane-wave approximation, with the 3N Hamiltonian containing only 2N interactions, and finally including also a 3N force (here the Urbana IX 3N potential [14]) both in the initial and final state. The effect of the 3N force on $d\Gamma_{nd}/dE_v$ is clearly visible, since the maximum is reduced by about 20%. From this figure one might draw the conclusion that the symmetrization in the plane-wave matrix element is not important. We found this agreement between the plane-wave and the symmetrized plane-wave results rather accidental. As demonstrated in Fig. 9

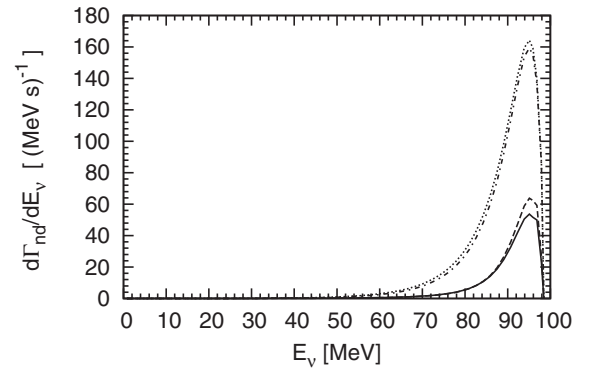


FIG. 8. The differential capture rates $d\Gamma_{nd}/dE_v$ for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ process calculated with the single-nucleon current operator and different types of 3N dynamics: plane wave (dash-dotted curve), symmetrized plane wave (dotted curve), full solution of Eq. (5.9) without (dashed curve) and with 3N force (solid curve). The calculations are based on the AV18 nucleon-nucleon potential [13] and the Urbana IX 3N force [14] and employ all partial-wave states with $j \leq 3$ and $J \leq \frac{9}{2}$.

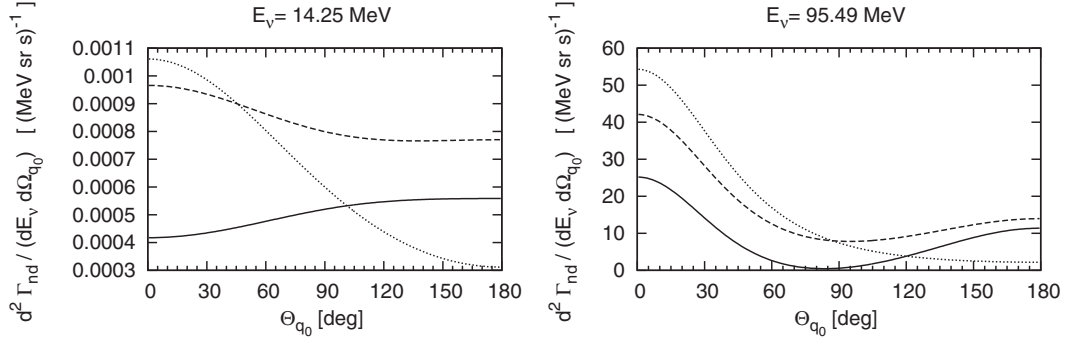


FIG. 9. The double-differential capture rates $d^2\Gamma_{nd}/(dE_v d\Omega_{q_0})$ for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ process calculated with the single-nucleon current operator and using the plane wave (dotted curve), symmetrized plane wave (dashed curve), and full solution of Eq. (5.9) but with $V_4^{(1)} = 0$ (solid curve) for two values of the neutrino energy. The calculations are based on the AV18 nucleon-nucleon potential [13] and employ all partial-wave states with $j \leq 3$ and $J \leq \frac{9}{2}$.

for two neutrino energies, the double-differential capture rates $d^2\Gamma_{nd}/(dE_v d\Omega_{q_0})$ receive dominant contributions from different angular regions.

For the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ reaction we show in Fig. 10 that the convergence of the differential capture rate $d\Gamma_{nnp}/dE_v$ with respect to the number of partial-wave states used in the full calculations is also very good. Comparing the shapes of $d\Gamma_{nd}/dE_v$ and $d\Gamma_{nnp}/dE_v$ we see that the latter becomes significantly different from zero at smaller neutrino energies. The calculations are based in this case on the AV18 [13] nucleon-nucleon potential and 3N force effects are neglected. In Fig. 11 we show 3N force effects by adding the Urbana IX 3N force to the Hamiltonian. The peak reduction caused by the 3N force amounts to about 19%, which is quite similar to the two-body break-up case. Note that this dependence on the 3N interaction, or essentially on the trinucleon binding energy, is presumably a consequence of the overprediction of the $A = 3$ radii when 3N interaction is not included.

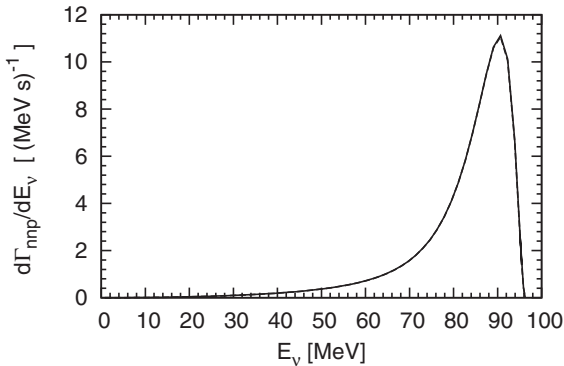


FIG. 10. The differential capture rates $d\Gamma_{nnp}/dE_v$ for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ process calculated with the AV18 potential [13] and using a full solution of Eq. (5.9) with $V_4^{(1)} = 0$. The curves representing results of the calculations employing all partial-wave states with $j \leq 3$ ($j \leq 4$) in the 2N subsystem are depicted with dashed (solid) curves. The maximal total 3N angular momentum is $J_{\max} = \frac{9}{2}$.

We supplement the results presented in Figs. 7–11 by giving the corresponding values of integrated capture rates in Table III, together with earlier theoretical predictions of Refs. [34–36] and experimental data from Refs. [15,37–39]. From inspection of the table we can conclude, first of all, that our results are fully at convergence. Second, we can estimate 3N force effects for the total rates. For the two break-up reactions separately (Γ_{nd} and Γ_{nnp}) as well as for the total break-up capture rate ($\Gamma_{nd} + \Gamma_{nnp}$) we see a reduction of their values by about 10%, when the 3N force is included. Our best numbers (obtained with the AV18 nucleon-nucleon potential and Urbana IX 3N force and the single nucleon current operator) are $\Gamma_{nd} = 544 \text{ s}^{-1}$, $\Gamma_{nnp} = 154 \text{ s}^{-1}$, and $\Gamma_{nd} + \Gamma_{nnp} = 698 \text{ s}^{-1}$ and can be compared with the available experimental data gathered in Table III, finding a nice overall agreement between theory and experiment for $\Gamma_{nd} + \Gamma_{nnp}$, except for the two results of Refs. [35,36]. The experimental uncertainties, however, are quite large. When comparing with the latest experimental values of Ref. [15], we find that our results for Γ_{nnp} are smaller than the experimental values and fall within the experimental estimates for Γ_{nd} and $\Gamma_{nd} + \Gamma_{nnp}$.

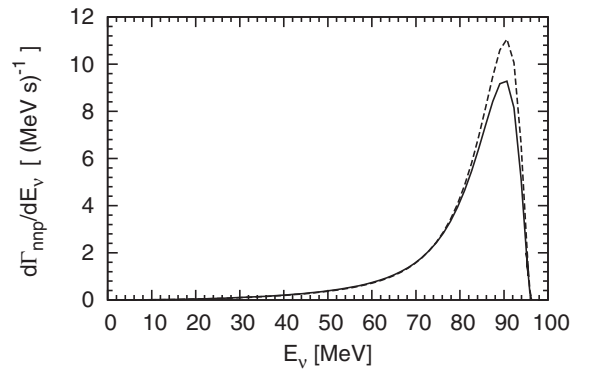


FIG. 11. The differential capture rates $d\Gamma_{nnp}/dE_v$ for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ process calculated with full solutions of Eq. (5.9) with $V_4^{(1)} = 0$ (dashed curve) and with $V_4^{(1)} \neq 0$ (solid curve). The calculations are based on the AV18 nucleon-nucleon potential [13] and the Urbana IX 3N force [14] and employ all partial-wave states with $j \leq 3$ and $J \leq \frac{9}{2}$.

TABLE III. Capture rates for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ (Γ_{nd}) and $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$ (Γ_{nnp}) processes calculated with the AV18 [13] nucleon-nucleon potential and the Urbana IX [14] 3N force, using the single-nucleon current and describing the final states just in plane wave (PW), symmetrized plane wave (SPW), and including final state interaction (full). Early theoretical predictions from Refs. [34–36] are also shown as well as experimental data are from Refs. [15,37–39].

| | Capture rate Γ in s^{-1} | | | | |
|-------------------------------------------|------------------------------------------|----------|----------------|------------------------------|---------------------|
| | Γ_{nd} | | Γ_{nnp} | $\Gamma_{nd} + \Gamma_{nnp}$ | |
| | PW | SPW Full | Full | Full | |
| AV18 ($j_{\text{max}} = 3$) | 1917 | 2046 | 604 | 169 | 773 |
| AV18 ($j_{\text{max}} = 4$) | 1917 | 2046 | 606 | 170 | 776 |
| AV18 + Urbana IX ($j_{\text{max}} = 3$) | 1853 | 1956 | 544 | 154 | 698 |
| Earlier theoretical predictions: | | | | | |
| Yano [34] | | 510 | 160 | | 670 |
| Philips <i>et al.</i> [35] | | 414 | 209 | | 623 |
| Congleton [36] | | | | | 650 |
| Experimental results: | | | | | |
| Zaimidoroga <i>et al.</i> [37] | | | | | 660 ± 160 |
| Auerbach <i>et al.</i> [38] | | | | | 665^{+170}_{-430} |
| Maev <i>et al.</i> [39] | | | | | 720 ± 70 |
| Bystritsky <i>et al.</i> [15] | | | | | |
| Method I | 491 ± 125 | | 187 ± 11 | | 678 ± 126 |
| Method II | 497 ± 57 | | 190 ± 7 | | 687 ± 60 |

We expect that our predictions will be changed by about 10%, when many-body current operators are included in our framework, as in the case of $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + {}^3\text{H}$.

A. Analysis of most recent experimental data for differential capture rates

Next, we embark on an analysis of experimental differential capture rates $d\Gamma_{nd}/dE_d$ and $d\Gamma_{nnp}/dE_p$ published in Ref. [15]. For a number of deuteron and proton energies, these quantities are averaged over 1-MeV-wide energy intervals and presented in the form of tables. The tables contain experimental results normalized to 1 in given energy regions as well as

absolute values. The data and their uncertainties have been obtained by two different methods so that, in each case, two data sets are available. The first method uses Monte Carlo simulations and a χ^2 minimization procedure to compare simulated results, depending on a set of parameters, with experimental events. In the second approach, a Bayesian estimation is used to determine the energy distributions of protons and deuterons emitted in the caption reactions.

One could, in principle, prepare a dedicated kinematics to deal with this kind of energy bins, as we did in Ref. [9]. Our approach, however, is now quite different and very simple. We have already calculated the capture rates $d\Gamma_{nd}/dE_v$ and $d\Gamma_{nnp}/dE_v$ on a dense grid (60 points) of neutrino energies, solving for each neutrino energy the corresponding Faddeev-like equation (5.9). These neutrino energies are distributed uniformly in the whole kinematical region and some extra points are calculated close to the maximal neutrino energy. This dense grid allows us to use the formulas and codes which calculate the total Γ_{nd} (5.14) and Γ_{nnp} (5.16) capture rates, performing integrals over the whole phase spaces. The sole difference is that, in the calculation for a given energy interval, only contributions to the corresponding total capture rate with a proper kinematical “signature” are summed.

This kinematical signature is easy to obtain. In the case of the two-body break-up reaction it is given by Eq. (5.14), which can be used to calculate the deuteron momentum and thus its kinetic energy. Two examples showing the distributions of “events” for two-deuteron energy intervals in the E_v - E_d plane are given in Fig. 12. The central deuteron energies are 15.5 and 20.5 MeV. In this case the events are generated by different (E_v, θ_{q_0}) pairs.

For the three-body break-up reaction the proton energy can be evaluated from Eqs. (5.17). Again we demonstrate in Fig. 13 two examples showing the distributions of proton events for two-proton energy intervals in the E_v - E_p plane. (The central proton energies are 25.5 and 35.5 MeV.) We see many more events than in the deuteron case, now generated with 60 uniformly distributed E_v points, 36 uniformly distributed θ_q values of the relative momentum \mathbf{q} , and 32 values of the magnitude of $q \equiv |\mathbf{q}|$. Compared to the deuteron case, the events come from a much broader neutrino-energy range.

We show in Fig. 14 the capture rates $\langle d\Gamma_{nd}/dE_d \rangle$ for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$ process averaged over 1 MeV

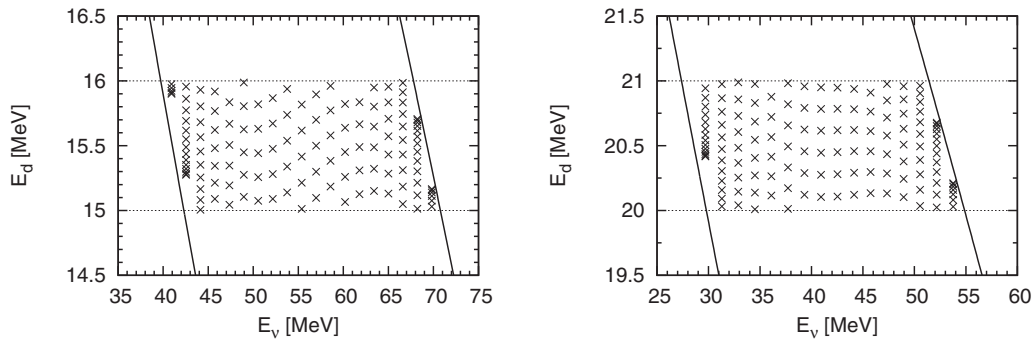


FIG. 12. The events for two selected bins corresponding to Fig. 14 with (left panel) the central deuteron energy $E_d = 15.5$ MeV and (right panel) 20.5 MeV, generated with 60 uniformly distributed E_v points in the $[0, E_v^{\max, nnp}]$ interval and 72 uniformly distributed θ_{q_0} values of the relative momentum \mathbf{q}_0 (in $[0, \pi]$) as explained in the text. For the selected examples the number of events is approximately 130.

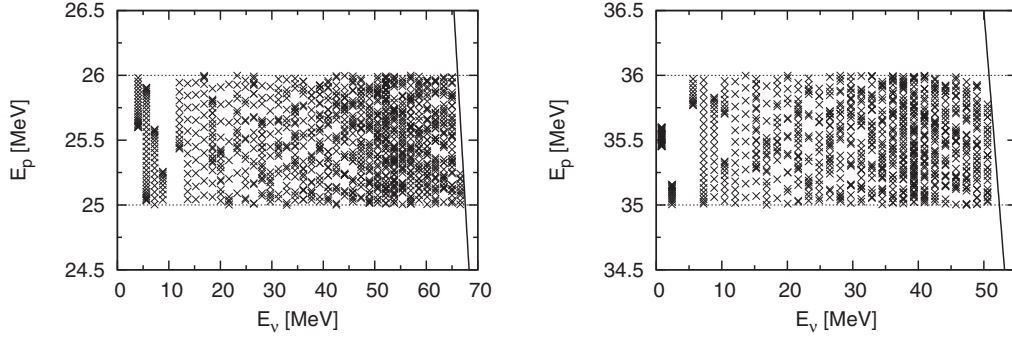


FIG. 13. The events for two selected bins corresponding to Fig. 15 with (left panel) the central proton energy $E_p = 25.5$ MeV and (right panel) 35.5 MeV, generated with 60 uniformly distributed E_v points, 36 uniformly distributed θ_q values of the relative momentum \mathbf{q} , and 32 values of the magnitude of \mathbf{q} (see text for a detailed explanation). For these two examples the number of events is approximately 1000.

deuteron energy bins, calculated with various 3N dynamics and compared to the two sets of experimental data presented in Table VI of Ref. [15]. We show the results both on logarithmic and linear scales. Our simplest plane-wave calculations (dash-dotted curves) describe the data well only for small neutrino energies. Predictions based on the full solution of Eq. (5.9) without (dashed curves) and with (solid curves) a 3N force clearly underestimate the data by nearly a factor of 2. If the Urbana IX 3N force [14] is added to the 3N Hamiltonian based on the AV18 potential [13], the agreement with the data is slightly improved. The symmetrized plane-wave approximation overshoots the data for smaller neutrino energies and drops much faster than data at higher neutrino energies.

The situation for the averaged capture rates $\langle d\Gamma_{nnp}/dE_p \rangle$ in the case of the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ reaction is demonstrated in Fig. 15. Here we compare our predictions obtained with the full solution of Eq. (5.9) without (dashed curve) and with (solid curve) the Urbana IX 3N force [14] to the experimental data evaluated by using two methods and shown in Table V of Ref. [15]. Both types of theoretical results underestimate the data for smaller proton energies and lie much higher than the data for higher proton energies. The inclusion of the 3N force does not bring the theory closer to the data and the 3N force effects are quite tiny.

These two comparisons raise the question whether the calculations of the total rates Γ_{nd} and Γ_{nnp} (where we at least roughly agree with the data) are consistent with the

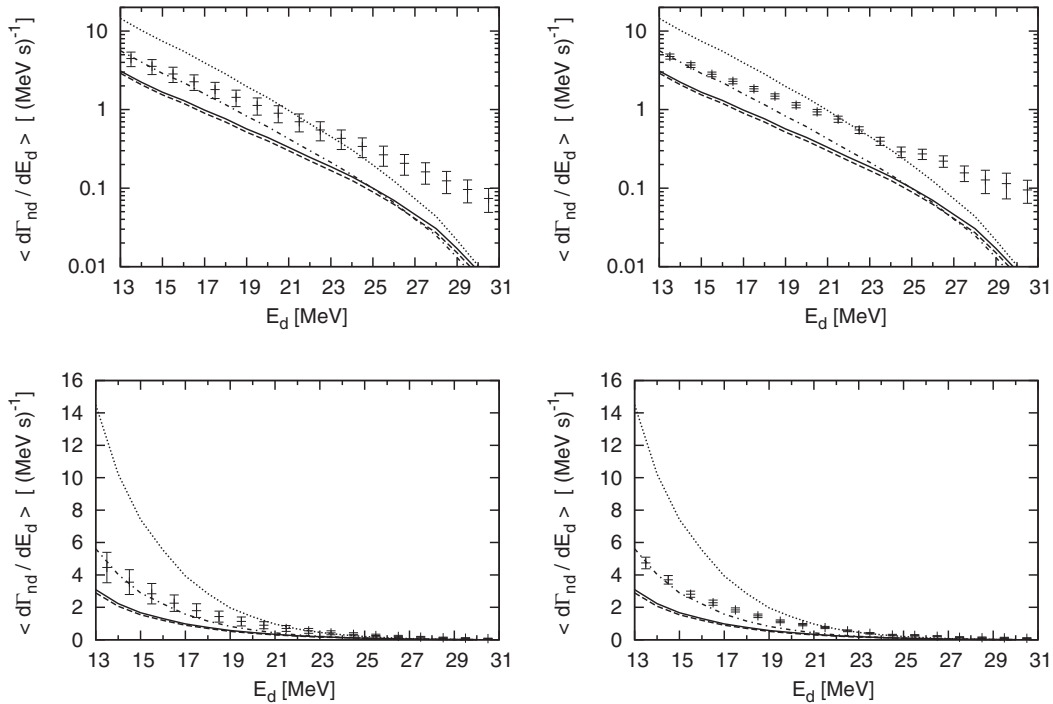


FIG. 14. The capture rates $\langle d\Gamma_{nd}/dE_d \rangle$ for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ process averaged over 1 MeV deuteron energy bins are compared with the experimental data given in Table VI of Ref. [15]. In the left (right) panel the experimental data are evaluated using method I (method II) of Ref. [15]. The notation for the curves is the same as that of Fig. 8.

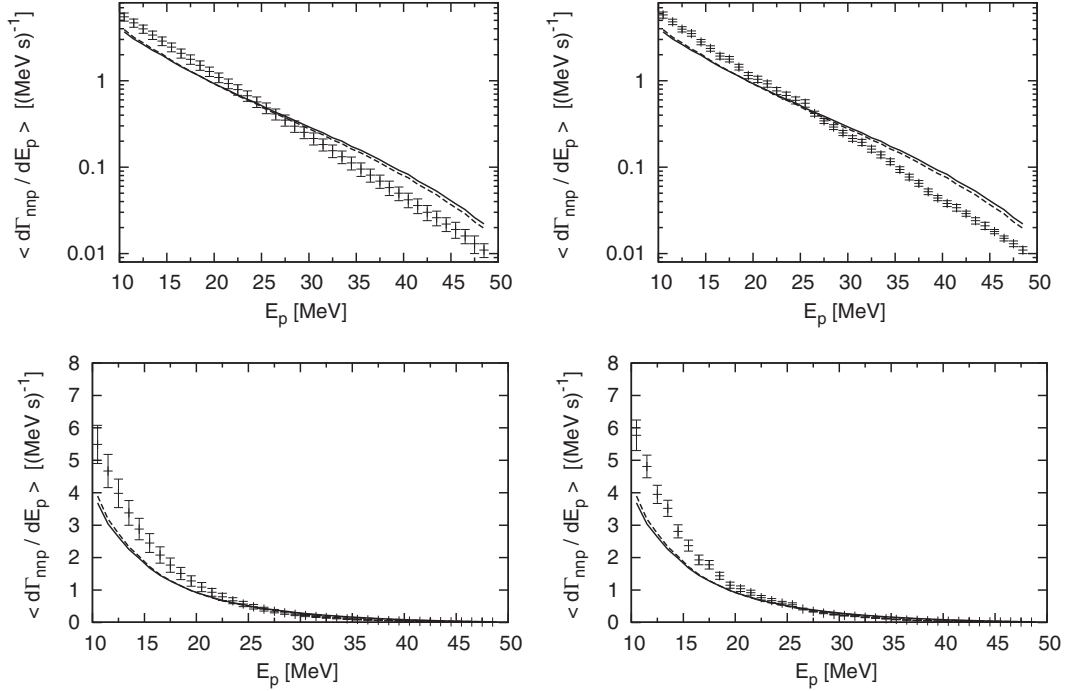


FIG. 15. The capture rates $\langle d\Gamma_{nnp}/dE_p \rangle$ for the $\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$ process averaged over 1 MeV proton energy bins are compared with the experimental data shown in Table V of Ref. [15]. In the left (right) panel the experimental data are evaluated using method I (method II) of Ref. [15]. The notation for the curves is the same of Fig. 11.

calculations of the (averaged) differential rates $\langle d\Gamma_{nd}/dE_d \rangle$ and $\langle d\Gamma_{nnp}/dE_p \rangle$ (where we disagree with the data). We checked that this is the case, calculating $\Gamma_{nd}(E_\nu < 90 \text{ MeV})$ in two ways. First we used the information given by $d\Gamma_{nd}/dE_\nu$. In the second calculation we generated corresponding events for all deuteron energies provided that $E_\nu < 90 \text{ MeV}$ and later used the code for $\langle d\Gamma_{nd}/dE_d \rangle$ to sum the corresponding contributions.

One might also worry if the extrapolation of the experimental results (necessary to arrive at the total rates) made by the authors of Ref. [15] is justified. From Figs. 5 and 6 it is clear that the data for these two reactions do not cover the region of neutrino energies greater than 90 MeV. From our calculations we can see that the total capture rates receive

decisive contributions just from this region. In the two-body break-up case this contribution amounts to nearly 70%. The simple formula used by the authors of Ref. [15] to represent the dependence $\langle d\Gamma_{nd}/dE_d \rangle$ on the deuteron energy might not work well for all the deuteron energies. This means that our agreement with experimental data for the total rates from Ref. [15] could be more or less accidental. At the moment our theoretical framework is not complete and this question should be revisited when the calculations with the more complete current operator are performed.

Finally, we would like to mention that we used these more exclusive observables, $\langle d\Gamma_{nd}/dE_d \rangle$ and $\langle d\Gamma_{nnp}/dE_p \rangle$, to verify the convergence of the full results with respect to the total angular momentum of the final 3N system, J . In Fig. 16

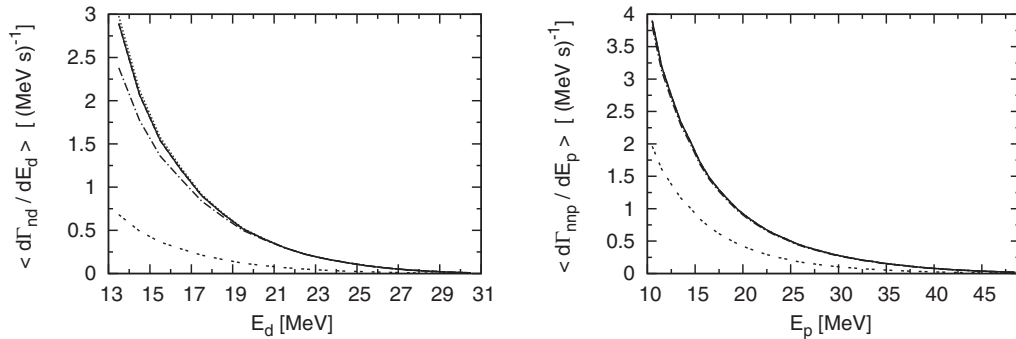


FIG. 16. Convergence of the full results (without a 3N force) with respect to the total angular momentum of the final 3N system corresponding to (left panel) Figs. 14 and (right panel) 15. Curves show results of calculations with $J \leq \frac{1}{2}$ (double dashed), $J \leq \frac{3}{2}$ (dash-dotted), $J \leq \frac{5}{2}$ (dotted), $J \leq \frac{7}{2}$ (dashed), and $J \leq \frac{9}{2}$ (solid).

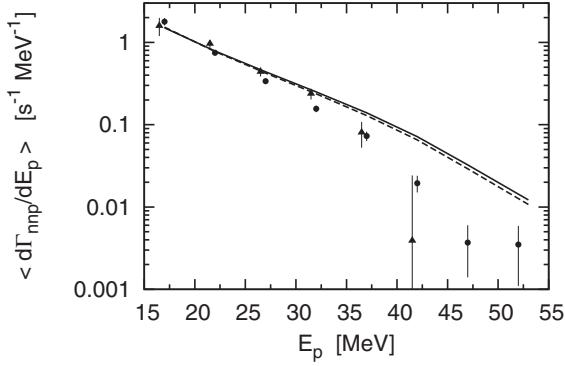


FIG. 17. The capture rates $\langle d\Gamma_{nnp}/dE_p \rangle$ for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ process averaged over 5 MeV proton energy bins are compared with the experimental data shown in Table I of Ref. [17]. Circles and triangles are used to represent data taken at two different detectors. The notation for the curves is the same as for Fig. 11.

we show results of calculations performed with $J \leq \frac{1}{2}$, $J \leq \frac{3}{2}$, $J \leq \frac{5}{2}$, $J \leq \frac{7}{2}$, and $J \leq \frac{9}{2}$ corresponding to Figs. 14 and 15. The convergence is extremely rapid, especially in the case of the 3N break-up reaction, and actually $J_{\text{max}} = \frac{9}{2}$ seems unnecessarily large.

B. Analysis of older experimental data for differential capture rates

In this section we provide an analysis of experimental differential capture rates $d\Gamma_{nnp}/dE_p$ and $d\Gamma_{nd}/dE_d$ published in Refs. [16,17]. For each reaction two data sets were obtained with two different detectors.

The data for the $d\Gamma_{nnp}/dE_p$ capture rate are to be found in Table I of Ref. [17]. These data points were averaged over 5-MeV-wide energy bins and our theoretical predictions are prepared consistently. The average procedure has been carried out in the same way as described in Sec. V A. The fact that, in this case, the proton energy bins are five times larger poses no additional difficulty. We noticed that this additional average over wider proton-energy bins does not change significantly the representation of our calculations (at least on a logarithmic scale). In Fig. 17 we see that our calculations are in fair agreement with data for $E_p \leq 32$ MeV but clearly overshoot the data for the higher proton energies.

The data set for the $d\Gamma_{nd}/dE_d$ capture rate consists of three points only. They are given in Table III and shown in Fig. 9 of Ref. [17]. These data points are compared with our theoretical predictions (based on different types of 3N dynamics) averaged over 1-MeV-wide energy bins. (That means that we use the same results as in the previous section.) This bin width corresponds closely to the horizontal errors bars of the three experimental points. In Fig. 18 the simplest plane-wave prediction seems to be consistent with the lower-energy datum, while the symmetrized plane-wave result agrees with the higher-energy data. The full results both neglecting and including 3N-force effects underestimate also the data from Refs. [16,17], missing them by 40%–60%. The same data were analyzed by some of the authors of the present paper in Ref. [9] with older nucleon-nucleon forces

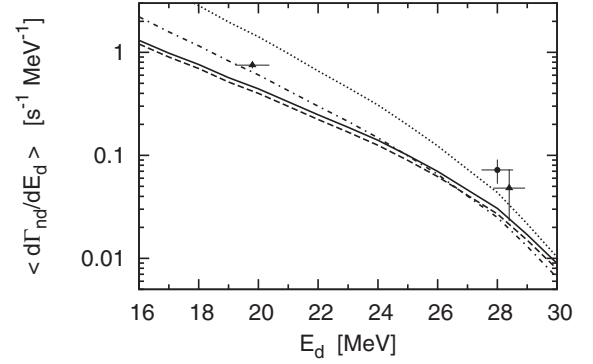


FIG. 18. The capture rates $\langle d\Gamma_{nd}/dE_d \rangle$ for the $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$ process averaged over 1 MeV deuteron energy bins are compared with the experimental data given in Table III of Ref. [17]. The notation for the curves is the same of Fig. 8.

and without 3N potentials. Here we do not confirm the results of Ref. [9], which showed a big difference between the full and symmetrized plane-wave predictions. This might indicate some problems in calculations of Ref. [9] and will be further investigated.

VI. SUMMARY AND CONCLUSIONS

A consistent framework for the calculations of all muon-capture processes on the deuteron, ^3He , and other light nuclei should be ultimately prepared. This requires that the initial and final nuclear states are calculated with the same Hamiltonian and that the weak current operator is “compatible” with the nuclear forces. If the results of such calculations can be compared with precise experimental data, our understanding of muon capture (and other) important weak reactions will be definitely improved.

In the present paper we studied the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$, $\mu^- + ^3\text{He} \rightarrow \nu_\mu + ^3\text{He}$, $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + d$, and $\mu^- + ^3\text{He} \rightarrow \nu_\mu + n + n + p$ reactions in the framework close to the potential model approach of Ref. [4] but (except for one attempt) with the single-nucleon current operator. Contrary to Ref. [4], we work exclusively in momentum space. In all the cases we check carefully that the nonrelativistic kinematics can be safely used and outline the adopted approximations. We also prove the convergence of our results with respect to the number of partial-wave states used in our calculations.

In the case of the $\mu^- + ^2\text{H} \rightarrow \nu_\mu + n + n$ reaction we employed our scheme, which totally avoids standard partial-wave decomposition to cross-check further elements of our framework. We supplement information given in the literature by showing some predictions for the quadruplet differential and total capture rates. Already in the 2N system we have developed an easy and efficient way to deal with PWD of any single-nucleon operator. This scheme is then employed also in the reactions with ^3He .

We give first realistic predictions for the differential $d\Gamma_{nd}/dE_{\nu_\mu}$ and $d\Gamma_{nnp}/dE_{\nu_\mu}$ capture rates as well as for the corresponding total capture rates Γ_{nd} and Γ_{nnp} . Our numbers calculated with the AV18 nucleon-nucleon potential [13] and

the 3N Urbana IX potential [14] are 544 s^{-1} ($\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + d$) and 154 s^{-1} ($\mu^- + {}^3\text{He} \rightarrow \nu_\mu + n + n + p$).

Our analysis of the experimental data from Ref. [15] reveals some contradictions. We agree roughly with the total capture rates but fail to reproduce the differential capture rates. Our results might indicate that the extrapolations and the experimental results on the total capture rates published in Ref. [15] should be reconsidered. Finally, we are well aware that the full understanding of the muon-capture processes requires the inclusion of at least 2N contributions to the nuclear current operators. However, the work presented here is a first step to perform a complete calculation in the near future. Work along this line is currently underway. Nevertheless, the

presented predictions will serve as an important benchmark for the future.

ACKNOWLEDGMENTS

This study was supported by the Polish National Science Center under Grant No. DEC-2013/10/M/ST2/00420. We acknowledge support by the Foundation for Polish Science-MPD program, cofinanced by the European Union within the Regional Development Fund. The numerical calculations were performed on the supercomputer clusters of the JSC, Jülich, Germany.

-
- [1] D. F. Measday, *Phys. Rep.* **354**, 243 (2001).
 - [2] T. Gorringer and H. W. Fearing, *Rev. Mod. Phys.* **76**, 31 (2004).
 - [3] P. Kammel and K. Kubodera, *Annu. Rev. Nucl. Part. Sci.* **60**, 327 (2010).
 - [4] L. E. Marcucci, M. Piarulli, M. Viviani, L. Girlanda, A. Kievsky, S. Rosati, and R. Schiavilla, *Phys. Rev. C* **83**, 014002 (2011).
 - [5] L. E. Marcucci, *Int. J. Mod. Phys. A* **27**, 1230006 (2012).
 - [6] L. E. Marcucci, R. Schiavilla, S. Rosati, A. Kievsky, and M. Viviani, *Phys. Rev. C* **66**, 054003 (2002).
 - [7] L. E. Marcucci, R. Schiavilla, M. Viviani, A. Kievsky, S. Rosati, and J. F. Beacom, *Phys. Rev. C* **63**, 015801 (2000).
 - [8] L. E. Marcucci, A. Kievsky, S. Rosati, R. Schiavilla, and M. Viviani, *Phys. Rev. Lett.* **108**, 052502 (2012).
 - [9] R. Skibiński, J. Golak, H. Witała, and W. Glöckle, *Phys. Rev. C* **59**, 2384 (1999).
 - [10] J. Golak, R. Skibiński, H. Witała, W. Glöckle, A. Nogga, and H. Kamada, *Phys. Rep.* **415**, 89 (2005).
 - [11] R. Skibiński, J. Golak, H. Witała, W. Glöckle, and A. Nogga, *Eur. Phys. J. A* **24**, 11 (2005).
 - [12] A. Kievsky, S. Rosati, M. Viviani, L. E. Marcucci, and L. Girlanda, *J. Phys. G* **35**, 063101 (2008).
 - [13] R. B. Wiringa, V. G. J. Stoks, and R. Schiavilla, *Phys. Rev. C* **51**, 38 (1995).
 - [14] B. S. Pudliner, V. R. Pandharipande, J. Carlson, Steven C. Pieper, and R. B. Wiringa, *Phys. Rev. C* **56**, 1720 (1997).
 - [15] V. M. Bystritsky *et al.*, *Phys. Rev. A* **69**, 012712 (2004).
 - [16] W. J. Cummings *et al.*, *Phys. Rev. Lett.* **68**, 293 (1992).
 - [17] S. E. Kuhn *et al.*, *Phys. Rev. C* **50**, 1771 (1994).
 - [18] J. D. Walecka, *Theoretical Nuclear and Subnuclear Physics* (Oxford University Press, New York, 1995).
 - [19] J. D. Bjorken and S. D. Drell, *Relativistic Quantum Mechanics*, International Series in Pure and Applied Physics (McGraw-Hill Science/Engineering/Math, New York, 1998).
 - [20] D. Bailin, *Weak Interactions* (Adam Hilger, Bristol, 1982).
 - [21] G. Shen, L. E. Marcucci, J. Carlson, S. Gandolfi, and R. Schiavilla, *Phys. Rev. C* **86**, 035503 (2012).
 - [22] K. Topolnicki, J. Golak, R. Skibiński, A. E. Elmesneeb, W. Glöckle, A. Nogga, and H. Kamada, *Few-Body Syst.* **54**, 2233 (2013).
 - [23] R. Machleidt, *Adv. Nucl. Phys.* **19**, 189 (1989).
 - [24] E. Epelbaum, W. Glöckle, and U.-G. Meißner, *Nucl. Phys. A* **747**, 362 (2005).
 - [25] I.-T. Wang, E. W. Anderson, E. J. Bleser, L. M. Lederman, S. L. Meyer, J. L. Rosen, and J. E. Rothberg, *Phys. Rev.* **139**, B1528 (1965).
 - [26] A. Bertin, A. Vitale, A. Placci, and E. Zavattini, *Phys. Rev. D* **8**, 3774 (1973).
 - [27] G. Bardin, J. Duclos, J. Martino, A. Bertin, M. Capponi, M. Piccinini, and A. Vitale, *Nucl. Phys. A* **453**, 591 (1986).
 - [28] M. Cargnelli *et al.*, *Workshop on Fundamental μ Physics*, Los Alamos (1986), LA 10714C; Nuclear Weak Process and Nuclear Structure, Yamada Conference XXIII, edited by M. Morita, H. Ejiri, H. Ohtsubo, and T. Sato (World Scientific, Singapore, 1989), p. 115.
 - [29] J. Golak, D. Rozpędzik, R. Skibiński, K. Topolnicki, H. Witała, W. Glöckle, A. Nogga, E. Epelbaum, H. Kamada, Ch. Elster, and I. Fachruddin, *Eur. Phys. J. A* **43**, 241 (2010).
 - [30] R. Skibiński, J. Golak, K. Topolnicki, H. Witała, H. Kamada, W. Glöckle, and A. Nogga, *Eur. Phys. J. A* **47**, 48 (2011).
 - [31] Wolfram Research, Inc., MATHEMATICA, Version 9.0, Champaign, Illinois (2012).
 - [32] D. Rozpędzik, J. Golak, S. Kölling, E. Epelbaum, R. Skibiński, H. Witała, and H. Krebs, *Phys. Rev. C* **83**, 064004 (2011).
 - [33] P. Ackerbauer *et al.*, *Phys. Lett. B* **417**, 224 (1998).
 - [34] A. F. Yano, *Phys. Rev. Lett.* **12**, 110 (1964).
 - [35] A. C. Philips, F. Roig, and J. Ros, *Nucl. Phys. A* **237**, 493 (1975).
 - [36] J. G. Congleton, *Nucl. Phys. A* **570**, 511 (1994).
 - [37] O. A. Zaimidoroga, M. M. Kulyukin, B. Pontecorvo, R. M. Sulyaev, I. V. Falomkin, A. I. Filippov, V. M. Tsupko-Sitnikov, and Yu. A. Scherbakov, *Phys. Lett.* **6**, 100 (1963).
 - [38] L. B. Auerbach, R. J. Esterling, R. E. Hill, D. A. Jenkins, J. T. Lach, and N. H. Lipman, *Phys. Rev.* **138**, B127 (1965).
 - [39] E. M. Maev *et al.*, *Hyperfine Interact.* **101-102**, 423 (1996).